



H- *Sector #3*  
Attorney Docket: 3007/49615  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KIYOTAKA MIURA ET AL.

Serial No.: 09/771,940

Filed: JANUARY 30, 2001

Title: THREE-DIMENSIONAL OPTICAL MEMORY MEDIUM  
AND PROCESS FOR PRODUCING SAME

SUBMISSION OF MISSING PARTS IN APPLICATION

**Box Missing Parts**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached hereto please find:

1. The Notice to File Missing Parts of Application.
2. The executed Declaration
3. Assignment for recordation, including a check in the amount of \$40.00.
4. Corrected Application Data Sheet.
5. Information Disclosure Statement.
6. Certified copy of corresponding Japanese application.
7. A check in the amount of \$840.00 in payment of the basic filing fee of \$710.00 and the surcharge of \$130.00 for late submission of the Declaration.

Please credit any overpayments or charge any additional fees to the Deposit Account of Crowell & Moring, L.L.P., Account Number 05-1323 (Docket #3007/49615). A duplicate copy of this letter is attached.

Respectfully submitted,

June 5, 2001

*Wanda Z. Evans*  
J. D. Evans

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JDE/ajf



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER

09/771,940

FILING/RECEIPT DATE

01/30/2001

FIRST NAMED APPLICANT

Kiyotaka Miura

ATTORNEY DOCKET NUMBER

3007/49615

CONFIRMATION NO. 9124

## FORMALITIES LETTER



\*OC000000005938059\*

238  
EMERSON MCKEOWN EDWARDS AND LENAHA  
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SUITE 700  
WASHINGTON, DC 20005

Date Mailed: 04/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

*Zereba*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/06/2001 HMOHAM1 00000093 09771940

01 FC:101  
02 FC:105

710.00 OP  
130.00 OP